

Docket No. 292895US0PCT



MAIL STOP PCT

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Yoshio OHARA, et al.

SERIAL NO: 10/584,208

GAU:

FILED: June 23, 2006

EXAMINER:

FOR: CRYSTAL FORM OF QUINOLINE COMPOUND AND PROCESS FOR ITS PRODUCTION

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR: Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references cited in the International Search Report and listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 292895US0PCT		SERIAL NO. 10/584,208	
				APPLICANT Yoshio OHARA, et al.			
LIST OF REFERENCES CITED BY APPLICANT				FILING DATE June 23, 2006		GROUP	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5 514 804	05-07-96	OHARA, Yoshio et al.			
	AB	5 473 075	12-05-95	OHARA, Yoshio et al.			
	AC	5 284 953	02-08-94	OHARA, Yoshio et al.			
	AD						
	AF						
	AF						
	AC						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AO	03 016317	02-27-03	WO (equivalent of US 2002099224, US 2003114685 and US 2004176615)			NO
	AP	0 520 406	12-30-92	EP (equivalent of US 5473075, US 5514804 and 5284953)			NO
	AQ	2004 072040	08-26-04	WO			NO
	AR						
	AS						
	AT						
	AU						
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW	SUZUKY, Mikio et al., "First Systematic Chiral Syntheses of Two Pairs of Enantiomers with 3,5-Dihydroxyheptenoic Acid Chain, Associated with a Potent Synthetic Statin NK-104", Bioorganic & Medicinal Chemistry Letters, Vol. 9, No. 20, pgs. 2977-2982, 1998.					
	AX	"Hypolipidemic HMG-CoA Reductase Inhibitor", Drugs of the Future, Vol. 23, No. 8, pgs. 847-859, 1998.					
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

**LIST OF RELATED CASES CITED BY
APPLICANT UNDER 37 CFR 1.56**

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Serial No.: 10/584,208

Inventor: Yoshio OHARA, et al.

Filing Date: June 23, 2006

Group:

LIST OF RELATED CASES

**Examiner
Initial**

Docket No.

**Serial or
Patent Number**

**Filing or
Issue Date**

**Patent App.
Publication No.**

**Inventor or
Applicant**

292895US0PCT*

10/584,208

06/23/06

OHARA, et
al.

0049-0204-ODIV

5,514,804

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Date Considered

Examiner

*Present Application; listed for information
NFO/sbc/kch